#### CERTIFIED FINANCIAL PLANNER BOARD OF STANDARDS, INC. CASE HISTORY 45598

### CERTIFIED FINANCIAL PLANNER BOARD OF STANDARDS, INC. WASHINGTON D.C.

IN THE MATTER OF

DELAWOE C. BAHTUOH, CFP®,

CFP Board Case No. 2023-64989

Respondent.

April 4, 2024

# ORDER OF PUBLIC CENSURE

#### I. <u>PROCEDURAL BACKGROUND</u>

On December 18, 2023, Certified Financial Board of Standards, Inc. ("CFP Board") Enforcement Counsel filed a Complaint for Single Bankruptcy ("Complaint") against Delawoe C. Bahtuoh, CFP<sup>®</sup> ("Respondent") pursuant to Article 3.3 of CFP Board's *Procedural Rules*, after receiving evidence that he had filed for personal bankruptcy protection on March 22, 2023 ("Bankruptcy Matter"). Counsel to the Disciplinary and Ethics Commission extended Respondent's time to file his Answer to the Complaint to March 15, 2024. On March 15, 2024, Respondent filed an Answer admitting that he has no other bankruptcy matter and admitting that the filing of the Bankruptcy Matter demonstrated his inability to manage responsibly his financial affairs. In accordance with Article 3.4.d. of the *Procedural Rules*, Enforcement Counsel and Respondent submitted a Joint Motion for an Order of Public Censure on March 15, 2024.

### II. <u>FINDINGS</u>

Respondent filed a personal Chapter 7 bankruptcy petition in the United States Bankruptcy Court, District of Minnesota on March 22, 2023. Respondent has filed no prior bankruptcy matters. Therefore, Respondent violated Standard E.2.c. of CFP Board's *Code of Ethics and Standards of Conduct*.

## III. <u>CONCLUSION</u>

Counsel to CFP Board's Disciplinary and Ethics Commission <u>GRANTS</u> the Joint Motion for an Order of Public Censure and issues a Public Censure to Respondent. This Order of Public Censure is not appealable under Article 15 and will be published in accordance with Article 17.7 of the *Procedural Rules*.

ORDERED by:

Counsel to the Disciplinary and Ethics Commission CFP Board