**CONSENT TO RELEASE DOCUMENTS TO CFP BOARD**

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

To Whom It May Concern:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (“CFP® professional”) was/is the registered representative for my account(s) at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_. By checking the appropriate boxes and signing below, I am explicitly waiving my privacy rights with respect to my account(s) and am authorizing that certain documents related to my account(s) be provided to the Certified Financial Planner Board of Standards, Inc. (“CFP Board”), a certifying and standards-setting body for individuals who hold the CFP® certification. The documents will be used in connection with a non-public professional standards investigation being conducted by CFP Board staff.

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (“Account Holder”), hereby authorize that the following materials be provided to CFP Board (check all that apply):

ALL information and documents requested by CFP Board

OR

Account opening forms, investor questionnaires, and other documents reflecting Account Holder’s investment/risk profile

Account statements and confirmation statements

Contracts, service agreements, or other similar documents

Financial plans or other similar documents containing recommendations made by CFP® professional

Response to my customer complaint and any exhibits or other documents referenced in the response

Other:

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Signature of Account Holder

Address

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\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Account Holder

Address

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